



**EMISSION REDUCTION CREDITS - TRANSFER  
APPLICATION FORM -05T**

The owner of an ERC Certificate that is registered in the APCD's Source Register must completely fill in this form and submit it to the APCD each time an ERC Certificate is transferred. Please be specific as to the amount and type of ERCs transferred and which specific emission elements are the sources of the ERCs being transferred. This form must be filled in for each ERC Certificate subject to transfer. An application filing fee per Rule 210 (Schedule F.1) is required. Fill in this form completely. Incomplete forms will be returned.

1. SUMMARY INFORMATION

Certificate No: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Certificate Owner Name(s): \_\_\_\_\_  
\_\_\_\_\_

Company ERCs Transferred To: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total ERCs	NO <sub>x</sub> : _____	SO <sub>x</sub> : _____
Authorized for	ROC: _____	PM <sub>10</sub> : _____
Transfer (tons/yr):	CO: _____	PM: _____

Company Official  
Authorized to  
Transfer the ERCs:

\_\_\_\_\_  
*Please Print Name*

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Phone and Fax Numbers*

\_\_\_\_\_  
*E-Mail*

2. TRANSFEREE CONTACT INFORMATION (please print )

Name: \_\_\_\_\_

Company: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-Mail: \_\_\_\_\_

3. TRANSFER INFORMATION

Yes  No Will the ERC Certificate be transferred in whole?

Yes  No If a partial transfer of the ERC Certificate is occurring, and there is more than one emission element, has the transferor detailed which ERCs are being transferred using the space below?

Specify the ERC Certificate Emission Elements (by name and number), the pollutants and the amounts that comprise the authorized transfer. (if no response is provided, the APCD will select for you):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. COST INFORMATION

(a) Transaction Type<sup>1</sup>:  Purchase  Subsidiary  
 Barter  Other \_\_\_\_\_

<sup>1</sup> If barter was involved and/or no money was exchanged for the ERCs, please calculate an equivalent dollar per ton value for the credit transaction. Barter can include one company placing controls on another company to generate ERCs. The price should reflect the total cost to install the equipment and any additional fees paid as part of the agreement between both companies. The price paid should reflect the value of the ERC at the time of the transaction.

(b) ERC Costs:

Pollutant	ERCs Used (tpy)	Total Cost (\$)	Unit Cost (\$/ton)
NO <sub>x</sub>			
ROC			
CO			
SO <sub>x</sub>			
PM <sub>10</sub>			
PM			

(c)  Yes  No Are the total cost values stated above one time payments? If No, please explain in detail the nature of the payments:

---

---

(d)  Yes  No Are there any other payment provisions or “in-kind” costs associated with this transaction? If Yes, please detail: \_\_\_\_\_

---

---

5. RULE 806 REQUIREMENTS

Yes  No Is this an involuntary transfer? If Yes, please detail \_\_\_\_\_

---

---

Yes  No Is the current ERC Certificate enclosed? Note: The APCD will not process the transfer without this submittal.

Yes  No Are all delinquent fees due the APCD by the transferor paid or on an approved schedule of payment? Note: The APCD will not process the transfer until all delinquent fees are paid.

\\sbcapcd.org\shares\Groups\ENGR\LIBRARY\Permitting\ApplicationForms\apcd-05t.doc