

MEMORANDUM OF UNDERSTANDING
BETWEEN
SANTA BARBARA COUNTY AIR POLLUTION CONTROL DISTRICT
AND ENGINEERS AND TECHNICIANS ASSOCIATION
FOR
September 10, 2018 to June 30, 2021

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Acronyms

APCD	Santa Barbara County Air Pollution Control District
APCO	Air Pollution Control Officer
CSR	Civil Service Rules of Santa Barbara County as they existed on July 1, 1994 or as subsequently modified by the APCD Board
EERP	Employer Employee Relations Policy, Resolution 97-19 or as subsequently amended by the APCD Board
ETA	Engineers and Technicians Association
FLSA	Fair Labor Standards Act
IRS	Internal Revenue Service
MMBA	Meyers Miliias Brown Act
MOU	Memorandum of Understanding
SDI	State Disability Insurance

**Memorandum of Understanding
Between
Santa Barbara County Air Pollution Control District
and
Engineers and Technicians Association**

Pursuant to the provisions of Government Code Sections 3500-3511 and Santa Barbara County Air Pollution Control District Employer Employee Relations Policy, Resolution 99-9 (EERP), agreement has been reached between the Santa Barbara Air Pollution Control District of Santa Barbara (hereinafter referred to as "APCD") and the Engineers and Technicians Association (hereinafter referred to as "ETA").

It is the general purpose of this Memorandum of Understanding to promote the mutual interest of the APCD and its employees and to establish rates of pay and certain other terms and conditions of employment.

Article 1 - Recognition

- A. Pursuant to the provisions of the EERP and applicable State law, the APCD hereby recognizes the Engineers and Technicians Association as the certified majority representative of the employees in the following representation units

28 Engineers and Technicians – Non-Supervisory

The term "employee" or "employees" as used herein shall refer only to employees employed by the APCD (excluding temporary or extra help) as well as such classes of employees as may be added hereafter throughout the provisions of the EERP or applicable State law.

Classes of employees represented by ETA are: Air Quality Engineer I, II, III; Air Quality Specialist I, II, III; Public Information and Education Specialist; Emissions Inventory Planning Specialist I, II, II;; Air Pollution Inspection Specialist I, II, III; Principal Inspection Specialist; Monitoring Specialist I, II, III and any other classifications assigned to this unit pursuant to Board action.

- B. ETA agrees to provide the APCD, or his/her designee, with a list of ETA officers and representatives who are authorized to meet and confer in good faith. ETA shall also provide the above official with a list of all authorized staff representatives. In addition, ETA shall provide a list of council representatives who can post materials on behalf of ETA. These lists shall be kept current by ETA.

Article 2 - Term of Agreement

The APCD and ETA agree that this MOU shall not be binding upon the parties either in whole, or in part, unless and until ratified by ETA members and formally approved by a majority vote of the APCD Board. This MOU shall remain in full force and effect to and including June 30, 2021 unless parties agree in writing to extend the term.

Effective June 30, 2020 the parties agree to a re-opener on two (2) Articles for each party.

This contract supersedes and replaces all provisions contained in any previous MOU between the APCD and the ETA.

Either party may serve written notice to meet and confer concerning possible changes in the provisions of this agreement. Such notice shall be submitted in writing at least sixty (60) days prior to the termination date of the agreement. It is the intent of the parties to conduct negotiations in such a manner as to reach a new agreement on or before the termination date of this agreement. If either party timely serves notice to meet and confer, the first negotiating session shall not commence earlier than one hundred fifty (150) days nor less than sixty (60) days prior to the last Board hearing before termination date of this agreement unless the parties mutually agree otherwise. The initial meeting shall be held within two weeks of the request to meet and confer. The responding party will to the best of its ability provide its own proposals by the third meeting.

Article 3 - Salaries

Effective September 10, 2018 (Pay Period 20) the base salaries of all position classifications represented by ETA shall be increased by two percent (2%).

Effective July 1, 2019 (Pay Period 15) the base salaries of all position classifications represented by ETA shall be increased by three percent (3%).

Article 4 - Non-Discrimination

The provisions of this MOU shall be applied equally to all employees covered hereby without discrimination because of any characteristics protected by State or Federal law.

Article 5 - Rights of the APCD

- A. The APCD retains, among other management rights, the exclusive right to determine the methods, means and personnel by which APCD operations are to be conducted, as well as to exercise complete control and discretion over its organization, operations, and technology of performing its work; to determine the mission, function and necessity of APCD divisions, boards and councils and to take all necessary actions to carry out their mission, functions and necessity, or any part thereof, as well as set standards of service to the public.
- B. The APCD also retains the sole right to administer the Civil Service system, to classify or reclassify positions, add or delete positions or classes to or from the Classification and Salary Plan; to establish standards for employment, promotion, and transfer of employees; to direct its employees, establish rules and regulations, take disciplinary action for proper cause, to establish work schedules and work assignments, contract out work, transfer work out of the unit, and to relieve its employees from duty for lack of work or other legitimate reasons. The APCD retains the right to be the sole judge, subject to applicable Civil Service Rules and Procedures, of the qualification and competence of its officers and employees.
- C. Before implementing any decision to contract out work or to transfer work out of the unit, the APCD shall notify ETA and upon request, negotiate the impact of such decision on employees' terms and conditions of employment, to the extent such terms and conditions are within the scope of representation.
- D. If ETA requests to negotiate a decision to contract out work or transfer work out of the unit, the subjects of bargaining shall include: the reasons, the expected financial impact, the anticipated impact on the quality of services provided and the comparative costs of contractor versus employee, including the impact on APCD overhead.
- E. If the decision to contract out services would result in an employee being subject to layoff, the contract shall not be implemented any earlier than thirty (30) days notice to ETA, unless the parties mutually agree.
- F. The APCD reserves the right to take whatever action may be necessary in an emergency situation; however, a recognized employee organization affected by the action shall be notified promptly of any such emergency action which affects matters within the scope of representation.
- G. This section is not intended to restrict consultation with employees or employee representatives about the practical consequences that decisions on these matters may have on wages, hours, and other terms and conditions of employment.

Article 6 - Rights of the Association

- A. Employees of the APCD shall have the right to form, join, and participate in the activities of employee organizations of their own choosing for the purpose of representation on all matters of employee relations. Employees shall also have the right to represent themselves individually in their employment relations with the APCD. No employee shall be interfered with, intimidated, restrained, coerced or discriminated against because of his/her exercise of these rights.
- B. Management employees shall not represent non-Management employees on matters within the scope of representation.
- C. Confidential employees shall not represent non-Confidential employees on matters within the scope of representation.
- D. Supervisory employees shall not represent non-Supervisory employees on matters within the scope of representation.

Article 7 - Association Security

- A. Dues and insurance premiums will be deducted from employees in the above-mentioned representation unit who have authorized said dues deductions and insurance premiums in writing on forms prescribed by APCD. The APCD will transmit such deductions to ETA.
- B. APCD will ensure that payroll/dues deductions from employees represented by ETA shall be changed quarterly upon written authorization from ETA, when authorized in advance by the employee. APCD will ensure that payroll deductions for ETA insurance and benefit programs shall be changed upon written authorization from ETA, when authorized in advance by the employee.
- C. ETA agrees to indemnify, defend, and hold the APCD harmless against any claims made of any nature and against any suit instituted against the APCD arising from its check off for the dues, insurance, or benefit programs of ETA, or its failure to do so.
- D. The ETA paid representative is authorized to visit work stations of ETA officers to obtain signatures on official ETA documents within the provision of the EERP.
- E. Upon request, the APCD shall, once every quarter, provide mailing labels of unit employee names and addresses at a cost of \$25 per year to ETA.
- F. The APCD agrees that the ETA negotiating team shall consist of no more than three (3) employees. Employees shall not suffer a loss in compensation in accordance with the EERP and State law.
- G. Upon written request by ETA, the APCD may provide meeting space to be used outside working hours, provided such space is available and ETA receives approval of the APCO and complies with APCD policies. Request for use of facilities will be made in advance to the APCO and will indicate the date, time and general purpose of the meeting(s) and facilities needed.
- H. The APCD will distribute to each new employee in an ETA represented employee classification a letter, furnished by ETA which reads:

"The Engineers and Technicians Association has been certified as the majority representative of the representation unit to which your classification belongs. ETA represents you in negotiations with the APCD relative to all matters pertaining to employment conditions and employee-employer relations including, but not limited to wages, work hours, and other terms and conditions of employment. For additional

information, or if you wish to join ETA, please write: Engineers and Technicians Association, P.O. Box 92259, Santa Barbara, CA 93190-2259."

- I. The APCD will allow a representative member of ETA to make a twenty (20)- minute presentation at scheduled departmental group new hire orientation meetings. The APCO, or his/her designee, shall notify ETA in advance of these orientation meetings.

Article 8 - Bulletin Boards

The APCD shall provide designated bulletin board space for use by ETA. The size and location to be determine jointly by management and ETA. All materials to be posted must be non-defamatory in nature, must be approved and signed by an ETA official and shall be used for the following subjects:

1. ETA recreational, social and related news bulletins;
2. Scheduled ETA meetings;
3. Information concerning ETA elections or the results thereof;
4. Reports of official business of ETA, including reports of committee or the ETA Council.

Article 9 - Medical and Dental, Long-Term Disability, and Employee Assistance Plans

- A. The APCD and ETA agree that medical and dental plan(s) shall be made available to employees.
- B. The APCD and ETA also agree that the availability of a choice between two health and dental plans is preferable to either type alone.
- C. The District shall maintain a committee to review and make recommendations to management on benefits for District employees. ETA shall be allowed to have one representative as a member of this committee.
- D. The District and the ETA agree to explore and discuss alternate medical plans outside of the traditional HMO and PPO type plans. It is further agreed that in the event an alternate plan is evaluated and found to be beneficial to District employees, the APCD shall be allowed to implement this plan in place of the plan with the lowest enrollment. This provision shall not be construed to waive the District's obligation to meet and confer on this item.
- E. In the event premiums for either plan increase in excess of 10% per year, the District may choose to make only one type of plan available for medical and one type of plan for dental.
- F. The District shall continue to provide employees with an Employee Assistance Program. This program shall be paid for by the District.

The District shall continue to provide employees with a Long Term Disability (LTD) Program. This program shall be paid for by the District.

- G. The APCD shall continue to contribute \$535.50 monthly toward the cost of the premium for employee-only medical plan coverage. The APCD shall also continue to contribute \$38.88 monthly toward the cost of the premium for employee-only dental plan coverage. These contributions are based on full-time employment; part-time employees shall receive a prorated contribution based on their percentage of full-time employment status. Insurance plan premiums that exceed the APCD's contribution shall be paid by the employee through payroll deductions. Medical and dental premiums are paid via semi-monthly payroll deductions.
- H. If an employee participates in the 457 Deferred Compensation plan "457 Plan" with a minimum contribution of \$69.58 per month; in lieu of receiving the entire \$535.50 APCD monthly medical

contribution (amount based on full-time employment), the employee may direct that \$69.58 per month of the APCD's contribution be applied to their 457 Plan account as a match to personal funds. Part-time employees shall receive a prorated contribution based on their percentage of full-time employment status and corresponding participation in the 457 plan. 457 Plan contributions are made through payroll on a bi-weekly basis.

- I. A Vision insurance plan shall be available to all employees. The District shall provide employee-only vision coverage at no cost to the employee. The employee may elect dependent coverage for an additional premium, paid by the employee through payroll deductions.
- J. Employees may insure their eligible dependents under medical and dental plans in accordance with the rules and regulations applicable to obtaining said dependent coverage.
- K. If two regular District employees are married to each other and both are eligible for contributions from the District toward employee only medical and/or dental coverage, they may consolidate the District's contributions toward the premium cost for "employee plus two or more dependents" coverage held by one of the employees. In this situation, one employee (referred to below as the "spouse") becomes a dependent on the other employee's (referred to below as the "primary employee") medical and dental coverage.

In order to be eligible under this provision, all of the following conditions must be met:

- Both employees are covered by the same medical and dental plan;
- The spouse is insured as a dependent on the primary employee's medical and dental plan insurance;
- The spouse has waived employee-only coverage;
- Both employees have authorized the consolidation of contributions on a form prescribed by the District;
- In the case of domestic partnerships, the employee must also be so registered with a domestic partner registry maintained by a California city, county, the State of California, or a public jurisdiction in another state provided the affected employee(s) sign the Santa Barbara County Air Pollution Control District's Declaration of Domestic Partnership form. Employees registering as domestic partners shall be responsible for all tax consequences of this benefit.

The amount of the consolidated contributions shall be that amount which would otherwise be contributed by the District toward the employee's and the spouse's employee-only premiums for the respective medical and dental plans.

- L. While on an approved medical and/or pregnancy leave, an employee will continue to be covered by APCD's group health insurance to the same extent that coverage is provided while the employee is on the job for a period not to exceed 12 months. This coverage will continue to the extent the employee continues to meet eligibility requirements as set forth in the Health Benefits Group Agreement(s) and/or Evidence of Coverage document(s), and in accordance with State and Federal leave laws. In the event an employee no longer meets eligibility requirements under a plan, the APCD will send a written notice to the employee informing them of their status and available options (such as COBRA rights). An employee will also continue to be covered under APCD's non-health benefit plans in the same manner to the extent the employee continues to meet eligibility requirements set forth by the plans.

In order for the coverage to be maintained, employees must make the appropriate contributions for continued coverage under the preceding health and non-health benefit plans by payroll deductions or by direct payments to the Fiscal Division for these plans. For each benefit plan an employee is enrolled in, APCD will inform the employee of the amount owed to APCD to continue coverage.

An employee's coverage for a particular plan may be canceled if he/she is more than 30 days late in making a premium payment. The cancellation date will be retroactive back to the last covered date for which the employee paid. Employee contribution rates are subject to any changes in premiums that occur while the employee is on leave. Payment of benefit cash allowances is prorated based on the amount of accrued leave balances used each pay period, and if an employee is in a paid leave status, accrued leave may be used to pay employee benefit premiums due as provided in APCD policies. In order to avoid loss of benefits, arrangements for direct payments to the Fiscal Division should be made as soon as the leave period is known. If coverage is canceled during the leave, an employee may not be able to re-enroll until the next open enrollment period for the canceled plan.

Article 10 - State Disability Insurance (SDI)

- A. All employees must participate in the State Disability Insurance (SDI) and Paid Family Leave (PFL) programs. The employee shall pay all costs associated with these programs.
- B. Employees may apply for SDI or PFL benefits with the State of California Economic Development Department (EDD). To be eligible for benefits, the employee must meet all applicable State requirements. Depending on eligibility, an employee may receive:
 - Up to 52 weeks of wage replacement benefits for the employee's own disability, and/or
 - Up to 6 weeks of wage replacement benefits for providing the required care for the serious health condition of a child, parent, spouse, or domestic partner or for bonding with a new child.
- C. Employees who receive SDI or PFL benefits must integrate available SDI and PFL benefits with available paid sick leave, vacation and compensatory time. Documentation of the SDI/PFL benefits received must be provided to the Fiscal Division for this purpose.
- D. If during the disability period the employee reaches his/her maximum allowable accrual for vacation, then the employee shall be allowed to use up to forty (40) hours of vacation time prior to the use of sick leave, overtime and/or holiday credits, in order to supplement his/her SDI benefits so that he/she may receive up to 85% of gross salary.

Article 11 - Flexible Spending Account Plan

- A. All full and part-time employees in ETA-represented classifications shall be eligible to participate in the APCD sponsored Flexible Spending Account Plan. Said plan shall be administered in compliance with Section 125 of the Internal Revenue Code (IRC).

The Flexible Spending Account Plan will include salary reduction options for the following:

1. Pre-Tax Health Insurance Premium(s) for employees and their dependents;
2. Pre-Tax Health Care Spending Account;
3. Pre-Tax Dependent Care Spending Account;
4. Pre-Tax Transportation Spending Account;
5. Pre-Tax Life Insurance Premium(s) (up to \$50,000 coverage);
6. Pre-Tax Personal Accident Insurance;
7. Pre-Tax Catastrophic Care Programs.

These options are described in detail in the Flexible Spending Plan brochure and in the legal plan document which is available to all employees. Compensation received in accordance with Article 12 (Cafeteria Dollars) may be used by employees to fund the options described above. All salary reduction amounts are included in base salaries for the purpose of computing retirement earnings subject to appropriate Internal Revenue Service regulations.

The APCD shall meet and confer with ETA prior to revising the benefit options unless mandated by law to change benefit options. Benefit option changes for ETA will not be implemented without the agreement of ETA.

- B. Benefits selected under this plan cannot be changed during the plan year except for a change in family status consistent with the benefit change. Enrollment in the plan shall be offered on an annual basis at the beginning of the plan year. New employees may enroll within the first thirty (30) days of employment. Continued operation of the program shall be subject to APCD administrative procedures and Internal Revenue Service regulations.

Article 12 - Cafeteria Dollars

- A. Up to and including December 16, 2018, the APCD shall continue to contribute \$176.61 per pay period (26 pay period year or \$4,592.00 annually) per employee as cafeteria dollars. These dollars will be paid on a biweekly basis to each regular employee based on the prorated number of non-premium, regular hours paid in a pay period. Regular part-time employees are eligible for this allowance based on a prorated equivalent of their employment status. Employees hired or promoted into a position during the calendar year shall begin earning the appropriate dollars the first full pay period of their appointment. The cafeteria dollars, which are received in cash, have a primary purpose of allowing employees to fund employee and dependent health insurance costs. Employees may also use the dollars to fund options in the Flexible Spending Account Plan and/or receive the remainder in cash.
- B. Effective December 17, 2018 (Pay Period 1 of 2019) Cafeteria Dollar allowance will be eliminated as a separate contribution and shall be incorporated into the base pay for employees represented by ETA.

Article 13 - Sick Leave

- A. Each regular full-time or regular part-time employee shall accrue sick leave at the rate of .0463 hours for each hour in a regular pay status excluding overtime, callback and standby duty.
- B. Unused sick leave shall be cumulative from year to year, with no accrual limit.
- C. Sick leave usage may not exceed the employee's accrued sick leave balance reported at the end of the prior pay period.
- D. The APCO or his/her designee, may require evidence in the form of a physician's certificate, or otherwise, of the adequacy of the reason for any employee's absence during the time for which sick leaves was requested. Under no circumstances is sick leave to be used in lieu of, in addition to, or as vacation. A physician's certificate may also be required in order to determine correctness of payroll records.
- E. When a member of an ETA-represented employee's immediate family is ill or injured and requires his/her presence and attendance, an employee may be allowed by the APCO to use his/her accumulated sick leave to attend such family member.
- F. Up to a maximum of five (5) days or forty (40 hours) of his/her accumulated sick leave may be allowed by the APCO to an employee for absences from duty because of any and each death in the employee's immediate family.
- G. For the purpose of Section 13E and 13F above, "immediate family" is defined as spouse, parent, brother, sister, child, stepchild, grandparent, grandchild, and mother-in-law or father-in-law of the employee. Immediate family shall also include registered domestic partners (as defined by AB 205) and domestic partner's children.

- H. An employee may, when necessary and at the discretion of the APCO, be granted up to two hours leave with pay to make voluntary non-remunerated blood donations to non-profit blood banks in the county. Time off in excess of two hours and up to an additional two hours may be used for this purpose, but such additional time off shall be charged to accumulated sick leave. Leave for the purpose of donating blood shall not exceed five times in any one calendar year.
- I. No payment shall be made to any employee for unused sick leave accumulated to his/her credit at the time of his/her termination from APCD service.
- J. Employees who retire from the APCD shall have their accumulated sick leave credit of up to 2,088 hours added to their term of service for purposes of calculating retirement benefits.

Article 14 - Bereavement Leave

- A. The District shall authorize up to a maximum of forty-eight (48) hours of paid bereavement leave of absence per calendar year due to the death of an immediate family member(s).
- B. Authorized bereavement leave under this Article shall not be charged against an employee's accrued sick or vacation balances.
- C. The APCO and/or his designee may require proof of the need for bereavement leave in the form of a published obituary or copy of an official death certificate.
- D. For the purpose of subsection A above, "immediate family" is defined as spouse, parent, brother, sister, child, stepchild, grandparent, grandchild, and mother-in-law or father-in-law of the employee. Immediate family shall also include domestic partners (as defined by AB 205) and domestic partner's children.

Article 15 - Vacation

- A. For each hour in a regular pay status, excluding overtime, call-back and stand-by, each regular full-time or regular part-time employee shall accrue vacation based on continuous APCD service as provided in the chart below.

Continuous APCD Service	Hourly Annual Accrual	Maximum Allowable Accrual
0-2 years (0 – 24 months)	0.0486 hrs/hr (101 hrs/yr)	293
3-4 years (25 -48 months)	0.0647 hrs/hr (135 hrs/yr)	295
5-10years (49 – 120 months)	0.0768 hrs/hr (160 hrs/yr)	368
11-14 years (121 –168 months)	0.0889 hrs/hr (185 hrs/yr)	399
15+ (169+ months)	0.1010 hrs/hr (210 hrs/yr)	430

- B. Annual vacation accrual may accumulate up to the Maximum Allowable Accrual provided for in chart 15A above.
- C. An employee absent due to a work-related injury, receiving Workers' Compensation Temporary Disability and unable to take vacation may accrue vacation up to the Maximum Allowable Accrual.
- D. No payment in lieu of accrued vacation shall be made to any employee except upon termination. Terminating employees shall be paid for accumulated vacation as of the date of termination.
- E. An employee is not entitled to utilize vacation credits or accrual unless or until s/he has been a regular employee for six (6) continuous months. A person falling to complete such service shall receive payment for vacation accrual.

- F. Vacation shall not include any regular holidays taken during a vacation period.
- G. Vacation shall be taken at the time designated by the APCO or his/her designee. A reasonable effort shall be made to allow vacations to be taken at a time convenient to the employee, so long as such use is consistent with the needs of the service and work load of the APCD.
- H. In the event vacation usage is denied by the APCO or his/her designee due to unusual workloads and the employee has reached his or her maximum allowable accrual limit the maximum allowable accrual limit shall be temporarily increased by 80- hours. Once vacation usage is approved the employee shall have twelve (12) twelve months in which to use any excess time accrued. If the agency is unable to accommodate scheduling such excess vacation time off within twelve (12) months, the employee shall be paid for the excess vacation time in the pay period immediately following the twelve (12) month period.
- I. Vacation usage may not exceed the accrued vacation balance reported at the end of the prior pay period.

Article 16 - Leave Donation

The purpose of this program is to provide a mechanism for assisting employees who have exhausted paid leave due to their own serious or catastrophic illness or injury or a serious or catastrophic injury or illness of their spouse, child, parent, domestic partner or domestic partner's child; or for bonding with a new child in connection with a birth, adoption or foster care placement of that child. This provision allows a regular APCD employee to donate the monetary value of accrued vacation, holiday or overtime hours to a specific employee who has exhausted his/her own available leave balances. Serious or catastrophic illness or injury is defined as an adverse medical condition which requires the employee to be absent from work for more than twenty (20) consecutive work days or less than twenty (20) consecutive work days based on APCO approval and on a case-by-case basis.

A. To receive leave donations, an employee:

- Must be absent from work due to his/her own single illness or injury or the serious or catastrophic injury or illness of his/her spouse, child or parent for more than twenty consecutive work days (as verified by a physician's statement) or be absent from work to bond with a new child within the first year of birth or in connection with an adoption or foster care placement of a child; and
- Must have exhausted all earned leave balances (including sick leave, vacation, overtime and holiday credits); except however, the APCO may approve the solicitation/acceptance of leave donations prior to all balances being exhausted, when the physician's statement and leave balances indicate the probable exhaustion of balances within two pay periods.
- Must apply, or have applied, for State Disability Insurance (SDI) or Paid Family Leave benefits (PFL). Denial of SDI or PFL benefits by the Employment Development Department will not disqualify an employee from participating in this program.

B. Donated leave shall be changed to its cash value at the donor's base rate of pay and then credited to the recipient in equivalent hours of vacation at the recipient's base rate of pay.

C. Donations:

- Are voluntary;

- Are made from accrued vacation, holiday or overtime balances; donation of sick leave is not permitted;
 - Must be for a minimum of four (4) hours, in whole hour increments;
 - Are irrevocable, and if any donated hours remain at the end of the recipient's catastrophic leave, they shall remain available for the sole use of the recipient; and
 - Are taxable on the part of the recipient, in accordance with IRS regulations, and are subject to withholding as required by law.
- D. An employee may not donate more than eighty (80) hours to any other individual employee.
- E. The total donations received into his/her vacation balance by an employee shall normally not exceed 1040 hours; however, donations in excess of 1040 hours may be considered and approved by the APCO.
- F. Upon approval of a request for donations, the APCO (or his/her designee) shall, at the employee's request, post a notice of the eligible employee's need for donations on departmental bulletin boards accessible to employees and/or by e-mail; confidential medical information shall not be included in the notice.
- G. Donations will be accepted for a period of 21 calendar days. Subsequent requests for donations will be sent out once the recipients donated leave balances are exhausted. The APCO may approve the solicitation of subsequent donations prior to all leave balances being exhausted when a physician's statement indicates the probable exhaustion of leave.
- H. Donations shall be administered according to procedures established by the APCO or his/her designee, and requested on a form prescribed by the APCO, or his/her designee. Signed approval of the receiving and donating employees must be properly provided before a donation is processed.
- Donors and hours donated shall be maintained as confidential payroll information.
- I. Nothing in this section shall be construed to modify the employment relationship between the APCD and the receiving employee, or to restrict the APCD's management rights.
- J. Upon request, the employee shall provide the APCO with documentation from a physician indicating that the illness or injury is serious or catastrophic.

Article 17 - Overtime

Regular employees shall be eligible for overtime compensation in accordance with the following provisions.

- A. Overtime shall be authorized in advance by the APCO or his/her designee.
- B. Overtime for non-exempt employees under the Fair Labor Standards Act (FLSA) will be defined as any hours worked beyond forty (40) hours in a seven day work period, exclusive of standby and call-back time.
- C. Overtime work is compensable at the rate of time and one-half the regular rate as computed in accordance with the FLSA. Hours worked shall accrue in increments of one-tenth (1/10) of an hour (6 minutes) and shall be placed in a Compensatory Overtime account in the pay period in which it is earned. The maximum hourly accrual in this account shall be 240 hours.

- D. Compensatory time off or cash payment shall be granted at the discretion of the APCO or his/her designated representative. If an employee wishes to take compensatory time off it shall be requested at least 48 hours in advance. Approval of this request is subject to a determination by the APCO of whether or not it would unduly disrupt the operations of the APCD. Compensatory overtime shall be used before any leave-without-pay is granted.
- E. Except upon termination of employment, hours in the Compensatory Overtime account as of Pay Periods 4 and 19 shall be paid off in cash based on the employee's regular hourly rate of pay in effect at the time of payment. Overtime earned in the pay period where cash payoff is made shall accrue in the Compensatory Overtime account. Payments for compensatory overtime hours are taxable as lump sum payments in accordance with IRS and State Franchise Board regulations and are subject to withholding as required by law.
- F. The APCD shall determine and identify those classifications which are exempt from overtime compensation. The determination shall be in accordance with the requirements of the FLSA. Classifications which have been designated as exempt shall not receive overtime, except in an emergency as provided in Sections 17H and 17I below.
- G. In recognition of exempt employees' special responsibilities and commitment of time, the APCO or designee may authorize administrative leave up to 108 hours in any fiscal year. The APCO or designee shall exercise her/her discretion to grant administrative leave in a reasonable fashion. This provision in no way establishes any right to overtime compensation or time off for such employees. The APCO, upon written request of such an employee's Division Manager, may approve additional administrative leave upon showing evidence of special circumstances. Such approval shall be in writing.
- H. Regular employees in classifications that are exempt from overtime compensation, and therefore not otherwise eligible for overtime pursuant to this agreement, shall be paid for overtime worked during an emergency as follows:
 - 1. No overtime compensation shall be paid for overtime hours worked during an emergency for the first twelve (12) hours of such emergency overtime work;
 - 2. Overtime compensation shall be paid at straight time for the second twelve (12) hours of emergency overtime work; and
 - 3. Overtime compensation shall be paid at the rate of time and one-half for all hours of overtime worked in excess of twenty-four (24) hours during any such emergency.
- I. "Emergency" for the purposes of Section 17H of this MOU shall mean an emergency in the County of Santa Barbara, duly declared in writing by the Santa Barbara County Board of Supervisors, by the County Administrator or Deputy County Administrator, by other jurisdictions in the State of California (including the cities within Santa Barbara County), when the emergency is approved in writing by the APCO as an emergency that requires APCD staff participation.
- J. Overtime-eligible part-time employees, who work beyond their regularly scheduled work hours but less than the maximum allowable in their work period, shall be paid at straight time.

Article 18 - Mileage

Employees who, when authorized by the APCO or designee, use their personal vehicle for APCD business shall be reimbursed for each mile driven on APCD business. Said reimbursement shall be at the amount per mile exempted by the IRS for reporting of income.

Article 19 - Call-In Pay

An employee who is eligible to receive overtime pay, but who is not assigned to or restricted by "Stand-By Duty" per Article 20 of this MOU, shall receive a minimum credit of two hours of work time if the employee reports to a job site in response to a call from the supervisor or other authorized official. Such employee shall not be considered to be in a stand-by status or eligible for stand-by pay.

Article 20 - Stand-By Duty

Employees assigned to stand-by duty by the APCO shall be compensated and governed by the following:

- A. Stand-by duty requires that employee so assigned:
 - 1. Be ready and take steps immediately to respond within a reasonable time to calls for their services;
 - 2. Be available by telephone or paging device;
 - 3. Remain within a specified distance or time from their work stations, and;
 - 4. Refrain from activities which might impair their ability to perform their assigned duties.
- B. Compensation shall be at the rate of \$3.00 per hour for each hour on such stand-by duty and shall be paid at the same time as scheduled for the pay period in which the stand-by duty was performed.
- C. Stand-by pay, when properly authorized, shall be paid for a minimum of one hour.
- D. Employees, other than those exempted from overtime compensation shall, when called to active duty while on stand-by duty status, be compensated for such active duty at the applicable overtime rate. Work time for an employee called to active duty while on stand-by status shall begin at the time of notification to report to a job site and shall continue until the employee stops work. A minimum of one hour at the appropriate rate shall be paid in those cases when an employee on stand-by status is required to report to a job site, but the minimum shall not apply for work performed at another location.
- E. No employee or other qualified person shall be paid for stand-by duty time and other compensable duty time simultaneously.
- F. An employee who is required to use an assigned vehicle in order to respond to call back duty shall be allowed to take an appropriately equipped APCD vehicle home for those periods of time that he/she is assigned to stand-by duty.
- G. Employees assigned to stand-by-duty on a weekend or designated APCD holiday shall receive stand by pay for the entire period of time he/she is assigned to such stand-by-duty. Weekend and holiday assignments of this type shall be continuous (i.e., 24 hours).

Article 21 - Bilingual Allowance

- A. Employees whose duty assignments require regular and frequent use of bilingual language skills in Spanish and English shall be designated by the APCO to receive a bilingual allowance. The APCO shall designate the employee in writing prior to the allowance being in effect. The employee shall retain such bilingual designation only until a change in assignments is reported in writing by the APCO to the Human Resources representative.

- B. Additional compensation for bilingual duties is payable as an allowance and not as part of basic salary, but shall be payable at the same time as regular compensation. When a full-time employee is assigned by the APCO to duties requiring regular and frequent use of bilingual language skills he/she shall receive an allowance of \$25.38 per pay period. When a part-time employee is assigned to bilingual duties, the bilingual allowance shall be prorated and paid on the same basis that the part-time position is filled and compensated.
- C. As used in Section 21A above, the phrase "regular and frequent" means at least once each working day, or at least five times each work week. Payment for the bilingual language skill is restricted to the actual needs of the position. An employee's ability to read, write or speak Spanish, occasional or incidental use of language skills in Spanish or the use of bilingual language skills other than for the purpose of meeting the requirements of the job shall not warrant a bilingual allowance.

Article 22 - Holidays

- A. Holidays regularly observed by the APCD for employees represented by this agreement are:

New Year's Day, January 1
Martin Luther King Jr.'s Birthday, 3rd Monday in January
President's Day, 3rd Monday in February
Cesar Chavez Day, March 31
Memorial Day, last Monday in May
Independence Day, July 4
Labor Day, 1st Monday in September
Veteran's Day, November 11
Thanksgiving Day, 4th Thursday in November
Thanksgiving Day Friday, 4th Friday in November
Christmas Day, December 25
Floating Holiday (see Section 22C below)

- B. All regular employees in a pay status (including paid leave) for any portion of pay period 1 of each year shall be credited with eight (8) hours holiday leave. This holiday leave shall accrue to the employee's vacation bank. The floating holiday credit may be used in the same pay period in which it is accrued, subject to the provisions of Paragraph C below. Regular part-time employees shall receive a prorated equivalent.
- C. Holiday leave shall be subject to the approval and/or taken at the direction of the APCO or his/her designee.
- D. Each APCD holiday which falls on Saturday shall be observed on the preceding Friday; and, in this event, the Saturday shall not be considered as a holiday for purposes of compensation and/or time off. Each APCD holiday which falls on Sunday shall be observed on the following Monday; and, in this event, the Sunday shall not be considered as a holiday for purposes of compensation and/or time off.
- E. Regular employees leaving APCD service shall be paid all compensatory holiday time which has accrued but has not been otherwise compensated.
- F. In the following sections, reference to eight (8) hours shall apply to regular full-time employees and in the case of regular part-time employee the eight (8) hours shall be a prorated equivalent based on employment status (full time, $\frac{3}{4}$ time, $\frac{1}{2}$ time).
- G. When a holiday falls on an employee's regularly scheduled work day, the employee shall be paid eight (8) hours cash payment for the holiday.

- H. When a holiday falls on an employee's regularly scheduled day off, the employee shall accrue eight (8) hours of compensatory holiday time. Said leave shall accrue to the employee's vacation bank.
- I. When an employee who is overtime exempt is required to work on a holiday which falls on the employee's regularly scheduled work day, the employee shall accrue the compensatory holiday time (said time shall accrue to the employee's vacation bank).
- J. When an employee who is eligible for overtime is required to work on a holiday, the employee shall, in addition to eight (8) hours regular cash payment for the holiday, accrue compensatory holiday time (said time shall accrue to the employee's vacation bank) at straight time and cash payment at one half time for all hours worked up to eight (8) hours.
- K. Holiday compensation shall be paid for a portion of a pay period as follows:
 - 1. Any regular employee who is absent without pay on a scheduled workday immediately preceding a holiday shall not have compensation reduced by reason of absence on the said holiday.
 - 2. Any regular employee who is absent without pay on a scheduled workday immediately following a holiday shall not have compensation reduced by reason of absence on said regular holiday.
 - 3. Any regular employee who is absent without pay on a scheduled workday preceding and following a holiday shall be deemed to have been absent without pay on said holiday and shall not be compensated for said holiday.
 - 4. Neither the first day of employment nor the last day of employment may be a holiday.

Article 23 - Retirement

- A. The APCD offers the following retirement plans:
 - 1. Employees Hired Before July 1, 1995
Contributory Retirement Plan (APCD Plan I)
 - 2. Employees Hired On or After July 1, 1995
Contributory Retirement Plan (APCD Plan II)
 - 3. Employees joining the APCD On or After August 16, 2012
Contributory Retirement Plan (APCD Plan III)
 - The retirement formula is 2.0% @ 61 ¼ years;
 - Half-rates;
 - Calculation of retirement benefit shall be based on employee's average salary calculated over the last three (3) years of employment;
 - The retirement COLA is 2%;
 - Elimination of retiree medical program.
 - 4. All employees hired after January 1, 2013 will be subject to the terms of the Pension Reform Act of 2013.
- B. The APCD may adjust the employee contribution rates to the Contributory Retirement Plans when such adjustments are based on an Actuarial Report, recommended by the Retirement Board and approved by the Board of Supervisors. Prior to implementing employee contribution rate adjustments, the APCD shall give notice and upon request provide an opportunity to meet. The purpose of the meeting will be to discuss the implementation of the contribution rate changes. The effective date of

the rate adjustments shall be in accordance with the applicable provisions of the County Employees Retirement Law of 1937.

- C. The APCD shall deduct employee contributions toward retirement from employee salaries on a pre-tax basis.
- D. During the term of this agreement, either party may reopen negotiations in the event of proposed changes to the retirement system plans or benefits affecting retirees, current and future employees. The scope of these re-opener negotiations will be limited solely to changes that occur to the retirement system plans or benefits.

Article 24 - Tuition and Textbook Reimbursement

- A. To the extent funding is available, the APCD shall provide for tuition and textbook reimbursement for regular full-time employees up to a maximum of \$500 per fiscal year and in accordance with existing administrative regulations governing this program. Tuition reimbursement for regular part-time employees shall be prorated based on their part-time percentage.
- B. Effective June 30, 2008 employees may accrue unused tuition reimbursement for a maximum of three years for use in a single year. The maximum that may be accrued over a three year period shall not exceed \$1,500.00.

Article 25 - Training

When written requests for training have been submitted, such requests shall be approved or denied promptly (within 10 working days of submission). If denied, the reason(s) for the denial shall also be provided in writing. Any denial of written training requests may be appealed to the Human Resources representative who, within 10 working days, shall respond to the appeal.

Training required by an employee's supervisor, manager or the APCO for groups of employees (such as training to implement rule changes and customer service) is additional to the training discussed above.

Article 26 - Jury Duty or Witness Leave-of-Absence

A leave of absence with pay not chargeable to sick leave or vacation shall be granted to an employee who serves on a jury or who is subpoenaed as a non-party witness for a civil or criminal proceeding in a court or administrative tribunal. All fees and perquisites collected by any officer or employee as a juror or a non-party witness shall be paid into the APCD Treasury in accordance with the procedures established by the APCD.

Article 27 - Special Equipment

- A. The APCD agrees that if employees are required by APCD to purchase special equipment that the cost of such equipment shall be paid for by the APCD. Any equipment purchased by the APCD shall be retained as APCD property.
- B. The District shall provide, at no cost, approved uniforms (e.g., fire retardant garments), and laundry service for said uniforms for each employee required by the District to wear a uniform. ETA agrees the District has the right to set up reasonable procedures and rules needed to cover details such as: participation, selection, ordering, delivery, cleaning, replacement of defective or damaged goods and return at termination, etc.
- C. Inspectors and source test engineers required to wear fire retardant garments shall each be provided two (2) jackets and two (2) pairs of coveralls.
- D. Appropriate personal protective equipment shall also be provided by the District.

Article 28 - Employee Performance Reviews

- A. Employees shall be given an opportunity to read and sign their Employee Performance Review (EPR) prior to placement of the evaluation in the employee's official personnel file. It is acknowledged that the fact the employee has signed the evaluation form does not necessarily mean the employee agrees with the evaluation. Such signature shall be evidence of the employee's knowledge of the completed evaluation.
- B. The employee's supervisor shall complete the EPR no later than the end of the pay period following the regular employee's anniversary date or the scheduled date of a special evaluation. If a special evaluation has been scheduled, the EPR is the tool to be utilized. The time period may be extended if the supervisor or the employee is not available or an emergency situation exists.
- C. Refusal to sign an EPR shall not be considered insubordination.
- D. The employee shall receive a copy of the signed evaluation within thirty (30) working days of the date of the evaluation. An EPR may not be utilized by the APCD to affect an employee's job status unless and until the employee has been given an opportunity to review such evaluation.
- E. An employee shall have the right to respond in writing to an EPR. Such response shall be brief and to the point and is to be filed with the employee's evaluation.

Article 29 - Reassignment

- A. An employee who wishes to be considered for reassignment within the same classification from one program or division to another program or division shall make a written request for such reassignment to the APCO or his/her designee. The APCO will acknowledge receipt of the request and will maintain the request for a period of one (1) year.

Before any bargaining unit member is moved permanently from one location to another, the APCD shall provide the following notice:

- 1. Change in work site – five (5) working days' notice
 - 2. Change in work station – three (3) working days' notice
- B. In addition, the supervisor of the work area shall discuss the impact of the move with the affected employee(s).

Article 30 - Classification and Procedure

- A. The parties reaffirm that the APCO or designee retains the sole responsibility and authority for the classification of the various positions in the APCD service.
- B. It is the intention of the parties that this Article is included only to inform employees of established process and procedures to follow when requesting a classification study on their own behalf.
- C. The request for a reclassification study by an employee who believes his/her position is misclassified must be submitted in writing through his/her Division Manager. Within thirty (30) days, the Division Manager will either return the request to the employee or forward it on to Human Resources for consideration and recommendation to the APCO. If the Division Manager returns the request to the employee, the employee may request that it be submitted to the APCO for consideration without the endorsement of the Division Manager.

- D. This Article is not intended in any way to modify Article 418 (salary on temporary assignment out of class) of the CSRs.

Article 31 - Civil Service Rules (CSRs)

Prior to implementing any modification(s) to the CSRs, the APCD shall notify ETA of any such change(s) and provide an opportunity to meet and confer. Any required negotiations regarding the proposed rule change(s) shall be concluded prior to presenting such change(s) to the APCD Board for its determination.

Article 32 - Automatic Payroll Deposit

- A. All employees covered by this agreement shall participate in the APCD's automatic payroll deposit program. Participation shall mean the employee's execution of a payroll authorization form and submission of a voided personal bank check to the APCD. It shall be the employee's choice as to which bank he/she designates as the institution receiving the payroll funds.
- B. When both the authorization form and the voided bank check are properly executed and filed, the APCD shall automatically deposit in the employee's designated bank account the net amount of pay each designated biweekly payday.

Article 33 - Grievance Procedure

A. Purpose

1. To promote improved employer-employee relations by establishing grievance procedures on certain matters for which an appeal, hearing or process is not provided by other existing regulations.
2. To provide that grievances shall be heard and settled as informally as possible.
3. To enable grievances to be settled as promptly and as close as possible to the point of origin.
4. To afford employees, individually or through qualified representation, a systematic means of obtaining consideration of complaints and disputes which constitute grievances as hereinafter defined.
5. ETA agrees to encourage an employee to discuss his/her grievance with his/her immediate supervisor. The immediate supervisor will, upon request of an employee, discuss the employee's complaint and attempt to adjudicate same at a mutually satisfactory time.

B. Grievance, Defined

For employees in the representation unit covered by this MOU a grievance shall be defined and processed as indicated below. This definition and procedure shall prevail over any other grievance definition and procedure for employees whose classification is included in the representation units covered by this Memorandum.

1. Issues subject to the grievance procedure are those involving an alleged violation, misinterpretation or misapplication of either this MOU or an established APCD policy expressed in rules, regulations, resolutions, ordinances or a written agreement between the parties, except for those issues that provide their own established resolution procedure such as, but not limited to: Workers' Compensation, Occupational Safety and Health Act, Fair Employment and Housing Commission, or any of the management rights currently enumerated in the EERP and the impact or consequences of the exercise of said rights.

2. ETA recognizes management's right to establish policies governing the operation of the APCD. However, allegations also subject to the grievance procedure are those in which the complaint concerns inconsistent application of policy where the inconsistency results in a denial of the employees' rights under those policies defined in "B.1." above.

C. Basic Rules

1. The procedure in this Article shall be the exclusive means for the disposition of all written grievances arising under this MOU, and the APCD shall not be liable to ETA or to any employee in any respect except as may be determined under said procedure.
2. Any employee (meaning a grievant), as that term is defined above, may file a grievance or may authorize by signature the filing of a grievance on his/her behalf without fear of restraint, interference, coercion, discrimination or reprisal.
3. ETA representatives shall not be discriminated against in their employment relationship because of their activity in the investigation and processing of grievances on behalf of other employees. This Article shall not be construed to confer any special privileges to ETA representatives or to relieve them of their obligation to fully perform all of the duties of their APCD position(s) in a timely and competent manner.
4. Formal grievance forms shall be made available to the employee through Human Resources and through ETA, and all formal grievances shall be submitted on these forms. Any changes in the form shall be by mutual agreement.
5. Formal grievance forms shall be complete upon filing and must explicitly specify the policy or the particular Article of the agreement, rule, resolution, or ordinance, the violation of which is being alleged as the basis for the grievance. The remedy requested must also be specified. No modifications in the violation being alleged shall be made subsequent to filing unless mutually agreed to by both the APCD and the grievant, or his/her ETA Representative.
6. Both the APCD and the grievant or his/her ETA Representative shall be responsible for giving notice of meetings and conferences at least twenty-four (24) hours prior to any Article G meeting regarding a grievance.
7. The employee has the right to the assistance of one ETA employee representative in addition to the ETA staff representative in the preparation and/or presentation of his/her written grievance. An employee is also entitled to represent himself/herself individually at any step of the formal grievance procedure provided, however, supervisory employees shall not represent non-supervisory employees where such activity results in a conflict of interest and further provided that the employee may not change his/her designation of representation other than designating himself/herself during the grievance procedure. In the event ETA determines there is no violation or the proposed settlement is just, ETA is not under obligation to represent a grievant beyond Step 5 (Article G Formal Procedure) of this procedure. If the employee is represented in a formal grievance meeting, the APCD may designate a management representative to be present in such meeting.
8. The grievant may withdraw the grievance at any stage of the formal grievance procedure by affixing his/her signature in the proper space on the copy of the grievance form which shall then become a part of the employee's personnel record.
9. If the employee's grievance is resolved at Step 1, 2, 3 or 4 in the formal grievance procedure as provided in Article G, the matter will be closed and the grievance resolved at that step. The grievant(s) concerned shall indicate acceptance of the resolution of the grievance by affixing his/her signature in the appropriate space indicated on the formal grievance form.

10. By mutual agreement, the parties may revert the grievance to a prior level for reconsideration. In such an event, the grievant shall have the right of appeal as set forth in this Article, if the grievance is not settled at the prior level.
11. Employees with common supervision and with essentially identical grievances, including remedy, may initiate a single grievance. Employees with essentially similar grievances may be required, at the APCD's discretion, to consolidate to a single proceeding at Steps 3 through 5 of the formal grievance procedure.
12. All terms used herein will have the meaning as defined in the EERP when used in connection with this document unless the context indicates or dictates otherwise.

D. Time Off for Processing of Grievances

The grievant and/or his/her ETA representative shall be granted reasonable time off with pay from regularly scheduled duty hours to process a grievance, provided that the time off will be devoted to the prompt and efficient investigation and handling of grievances, subject to the following:

1. Any grievant or his/her representative, when said representative is an APCD employee, shall not log compensatory time earned or premium pay time for any time spent in the processing or investigation of a grievance.
2. When a grievant's ETA Representative is an APCD employee, the representative shall be employed in the same work unit or location as the grievant. When it is impossible to meet the foregoing condition, a grievant's representative who is an APCD employee may be employed outside the same work unit or location, provided that said representative is no longer than ten (10) minutes away, by the most practical and common mode of transportation, from the grievant's work location. This time limit may be waived by mutual agreement when the grievant is assigned to a remote work location. In no case shall APCD vehicles be used for transportation in connection with the processing of grievances, nor will reimbursement be considered for use of private vehicles.
3. Before performing any grievance work during scheduled duty hours a grievant or a grievant's ETA Representative who is an APCD employee shall obtain permission from his/her supervisor and shall report back to his/her supervisor when the grievance work is completed.
4. A grievant or grievant's ETA Representative who is an APCD employee shall not leave his/her job to perform any grievance work unless his/her supervisor determines that his/her absence will not unduly interfere with the work of the unit in which he/she is employed. However, every reasonable effort will be made to grant such time off within two (2) working days and shall not be unreasonably denied for a period longer than three (3) days.
5. When a grievant or any ETA Representative must go into a division, section, or work unit to investigate or process a grievance, he/she shall be permitted to do so provided that:
 - a. He/she explains the purpose of his/her visit, who he/she is visiting, and checks in and checks out with the supervisor of the division, section, or work unit, and
 - b. Such investigation or processing does not unduly interfere with the work of the division, section or work unit. However, an effort will be made to grant such access within two (2) working days and shall not be unreasonably denied for a period of longer than three (3) working days.

- c. Management retains the right to have an APCD representative accompany an ETA representative when he/she is granted access to APCD work sites.
6. An APCD employee, who has direct first-hand knowledge of the event giving rise to the grievance and whose testimony is necessary in establishing the facts of a particular grievance, may be called as a witness in a formal grievance hearing. If the grievance hearing is held during the employee witness' work schedule, the attendance of such witness at the grievance hearing shall be conditional upon:
 - a. Providing 48 hours notice to his/her APCD Division Manager for his/her proposed attendance;
 - b. Such proposed attendance not unduly interfering with the operation of the APCD;
 - c. When necessary, up to three (3) needed witnesses may attend mutually called formal grievance hearings on paid APCD time to present their testimony.

E. Time Limits

1. Time limits specified in Article G may be extended by mutual consent, in writing, of the grievant and/or the ETA Representative, and the APCD.
2. Failure by a grievant or ETA representative to file an appeal within the specified time limits provided in Article G, unless extended, shall constitute acceptance of the decision rendered at the lower level and the grievance shall not be subject to further appeal or reconsideration.
3. Failure by management to respond to the employee's grievance within the time limit specified automatically grants to the employee or his/her representative the right to process the grievance to the next level.

F. Informal Procedure

Any employee having a grievance should verbally present same directly to his/her supervisor in an effort to clarify the grievance and mutually achieve settlement. The initial presentation should be without the benefit of representation; however, one (1) subsequent presentation may be made with the benefit of representation. The APCD management has the responsibility to:

1. Inform an employee of any limitation of the APCD's authority to fully resolve the grievance; and
2. Supply the employee with the necessary information, including names and phone numbers, to process his/her grievance to the proper agency, organization, or authority.

G. Formal Procedure

Step 1. Immediate Supervisor

An employee may submit a formal grievance, on forms provided by the APCD or ETA as provided in Article C, paragraph 4, by filing one copy with his/her immediate supervisor and one copy with Human Resources not more than ten (10) working days from the date of the action or incident claimed to be the basis for the grievance, or not more than ten (10) working days from the date of discovery of such action or incident, but in no event shall any grievance be accepted for consideration more than one year from the action or incident claimed as its basis regardless of the date of discovery. If the grievance is not presented within the time limitation herein provided, it shall be deemed not to exist. Within seven (7)

working days of receipt of the grievance, the immediate supervisor shall deliver his/her written decision to the grievant. Any grievance settled at this step shall be subject to the review and confirmation of the APCO before the settlement may become effective. Such review will occur within seven (7) working days or the grievance shall automatically be moved to Step 2. In the event the APCO does not confirm the settlement, the grievant may initiate Step 2 of this procedure.

Step 2. Human Resources and APCO Review

Absent settlement or timely decision in Step 1, the grievance may be appealed in writing to Human Resources. The appeal must occur within seven (7) working days after the supervisor's decision or failure to respond timely. Within seven (7) working days of receipt of the appeal, Human Resources and the APCO shall meet with the grievant to discuss the grievance. Human Resources, in consultation with the APCO, shall deliver a written decision to the grievant and his/her ETA representative within ten (10) working days after the meeting.

Step 3. Mediation

If within ten (10) working days after receipt of the written decision of Human Resources, or his/her failure to respond to the grievance, the grievant is still dissatisfied, he/she may request the services of a mediator from the State Mediation and Conciliation Service. If both parties waive the right to mediation they may proceed directly to Step 4 APCO. The mediation shall be conducted with complete confidentiality. All information gathered during the mediation process shall remain confidential. If the grievance is not settled at the mediation, both parties agree to refrain from contacting the mediator. The parties further agree to not call the mediator as a witness in any later step in the grievance process.

Step 4. APCO

If the grievance is not settled in Mediation, the grievance may be appealed in writing to the APCO. The appeal must occur within seven (7) working days after the conclusion of the mediation process. By mutual agreement, the grievant and the APCO may meet to discuss the grievance. Within ten (10) working days after receipt of the appeal, the APCO shall deliver a written decision to the grievant and his/her representative.

Step 5. Advisory Arbitration

1. If the grievance is not settled or disposed of at Steps 1 through 4, the grievance may be submitted within ten (10) working days to Human Resources, who will arrange for arbitration. The Arbitrator shall be selected from a panel provided by the State Mediation and Conciliation Service. The hearing shall be conducted in accordance with the rules and regulations of the American Arbitration Association unless the parties mutually agree to other rules or procedures for the conduct of such arbitration.
2. The fees and expenses of the Arbitrator shall be shared equally by the parties involved, except as outlined below in paragraph 9. It being understood and agreed that all other expenses, including but not limited to fees for witnesses, transcripts and similar costs incurred by the parties during arbitration, will be the responsibility of the individual party involved. Individuals representing themselves shall be solely responsible for their share of the fees and expenses as outlined above.
3. The Arbitrator shall have jurisdiction and authority to interpret the provisions of this MOU. The Arbitrator shall not have jurisdiction or authority to alter in any way the provisions of this MOU.
4. Not more than one grievance shall be submitted to arbitration in the same proceeding without the consent of the parties, except that grievances that are based on the same set of facts which must necessarily be decided in the same way can be submitted in a single arbitration.

If ETA fails, or the employee individually fails, refuses or declines to demand arbitration of any such grievance, the affected employees shall thereby be conclusively bound.

5. Thereupon, the APCD and ETA shall endeavor to make a submission agreement, setting forth the issue or issues to be submitted to arbitration and any agreed, stipulated, relevant facts and principles. In the event of disagreement between the APCD and ETA, the issue or issues of the grievant as set forth in the written grievance shall be an issue to be submitted to arbitration.
6. A grievance not appealed to arbitration within ten (10) working days after the APCO renders a decision, shall be deemed concluded. The limits set forth herein may be extended by mutual agreement.
7. Only those grievances which directly concern or involve the interpretation or application of the specific terms and provisions of an MOU currently in effect may be submitted to arbitration hereunder as set forth in Step 5. In no event shall such arbitration extend to:
 - a. The interpretation, application, or legality of any State or local law, ordinance or resolution adopted by the APCD Board; however, if the Arbitrator, in his/her discretion, finds it necessary to interpret or apply such State or local law, ordinance or resolution in order to resolve the grievance which has been submitted to arbitration, he/she may do so.
 - b. The interpretation, application, or legality of any or all of the CSRs, however, if the Arbitrator, in his/her discretion, finds it necessary to interpret or apply such Civil Service Rules in order to resolve the grievance which has been submitted to the Arbitrator, he/she may do so.
 - c. The interpretation, application or the legality of the rules or regulations of the APCO; however, if the Arbitrator, in his/her discretion, finds it necessary to interpret or apply such rules or regulations in order to resolve the grievance which has been submitted to the Arbitrator, he/she may do so.
8. The Arbitrator's decision and award shall be advisory on the parties. A party may object to the Arbitrator's decision and/or award by providing the opposing party with written notice of his/her/its objection. The written objection must be made within ten (10) working days of receipt of the Arbitrator's decision. If neither party objects within ten (10) working days, the Arbitrator's award and decision shall be implemented accordingly.
9. In the event APCD objects to the Arbitrator's decision and/or award, as outlined in paragraph 8, the APCD shall pay the Arbitrator's entire fee. Each party to the arbitration will still be responsible for their own expenses.
10. If the grievant or APCD submits a timely objection to the Arbitrator's award, the MOU grievance procedure shall be deemed concluded; and the grievant may proceed with his/her grievance in the manner or forum of his/her choosing, including but not limited to the filing a civil lawsuit.

Article 34 - Technological and Economic Changes

The APCD agrees to consult with ETA when technological or economic changes occur which would significantly affect the employees covered by this MOU or would result in layoff of any employee represented by ETA. The APCD shall also provide ETA with a designation of affected classes, the number of positions in each affected

class and an abstract of the employees affected by a layoff at least thirty (30) calendar days prior to the effective date thereof.

Article 35 - Transportation Demand Management (TDM)

Employees shall be eligible to participate in the APCD's TDM program and receive related benefits including the Alternative Commute Incentive.

Article 36 - No Strike Clause

- 1) Employees represented by ETA shall not take part in any illegal strike, work action or other concerted activity of any kind which will result in curtailing or restricting APCD services during the term of this agreement.
- 2) ETA agrees not to sanction, encourage or support any such unlawful strikes, work actions or other concerted activity.
- 3) The term "strike, work action or other concerted activity" means any concerted failure to report for duty, any concerted absence from position, any concerted stoppage of work, any concerted slowdown, sick-out, refusal to work, interruption, call-in or failure in whole or in part to carry out the full, faithful and proper performance of the duties of employment. The term "strike, work action, or other concerted activity" also means any participation in an action curtailing or restricting the operation of the APCD for the purposes of inducing, influencing, or coercing a change in the working conditions, compensation and rights, privileges and obligations of employment.
- 4) In the event that a strike, work action or other concerted activity occurs in violation of this agreement, ETA shall, on written notice by the APCD, issue a statement addressed to the employees, a copy of which shall be delivered to the APCD, declaring the strike or other concerted activity not sanctioned, unlawful, and directing them to immediately return to work or cease and desist.

Article 37 - Return to Work

APCD may adopt programs, such as the County Department of Public Works' "Modified or Alternate Temporary Disability Duties Program," which allow the temporary assignment of modified or alternate duties to an employee temporarily unable to perform some or all the duties of his/her position due to illness or injury. Prior to implementing a new program, the APCD shall notify the ETA and, if requested, meet and confer with ETA on elements of the proposed program within the scope of representation.

Article 38 - Workers' Compensation

Employees eligible for Workers' Compensation benefits may use their available sick leave credits (or vacation credits if sick leave is exhausted) to supplement their Workers' Compensation benefits so that the sum of the Workers' Compensation benefits and sick leave credits used equals 100% of their gross salary. Upon request, the employee is required to submit copies of correspondence from Workers' Compensation related to benefits paid and dates for which benefits were paid.

Article 39 - RESERVED

Article 40 - Use of APCD Computer Resources

Employee's rights and obligations regarding the use of the APCD's computers and computing resources are governed by the APCD's Computer System Acceptable Use Policy (P&P #1100.074.02). The parties agree that occasional and incidental use of the APCD's computing resources for ETA business is allowable within the parameters of the Computer System Acceptable Use Policy, so long as such use does not interfere with the

performance of work duties, the effective delivery of service, result in any cost to the APCD or unduly compromise the security of APCD systems.

Article 41 - Conclusiveness of Agreement

- A. The provisions contained in this Agreement shall prevail over APCD practices and procedures and State laws to the extent permitted by State law. In the absence of specified provisions in this Agreement such practices and procedures are discretionary.
- B. This Agreement sets forth the full and entire understanding of the parties regarding the matters set forth herein, and any other prior or existing understandings or agreements by the parties, whether formal or informal, regarding any such matters are hereby superseded or terminated in their entirety.
- C. This section does not apply to the Civil Service System or to the rules adopted to administer the Civil Service System.

Article 42 - Separability

Should any provision of this agreement be held inoperative, void or invalid by the state or federal legislature or any court of competent jurisdiction, the remaining provisions of this agreement shall not be affected thereby, and the parties shall meet and confer for the sole purpose of arriving at a mutually satisfactory replacement of such provision.

Article 43 - Obligation to Meet and Waiver Clause

- A. Except as otherwise expressly provided in this agreement or where the parties mutually agree to meet and confer on the matter, the APCD and the ETA expressly waive and relinquish the right, and each agrees that the other shall not be obligated during the term of this MOU to meet and confer with respect to any subject or matter, including mandatory subjects of negotiation, whether referred to or covered in this agreement, even though such subjects or matter was proposed and later withdrawn.
- B. In the event any new practice, subject or matter arises during the term of this agreement and an action is proposed by the APCD, ETA shall be afforded all possible advance notice and shall have the right to meet and confer upon request. In the absence of agreement on such a proposed action, the APCD reserves the right to take necessary action by management direction and ETA reserves the right to then take whatever lawful action it deems necessary. The waiver or any breach of any term or condition of this MOU by either party shall not constitute a precedent in the future enforcement of all its terms and conditions.

Article 44 - Mediation at Time of Impasse

- A. If the management representatives and ETA fail to reach an agreement on matters within the scope of representation, and the parties are unable to agree on a method of solving the dispute, an impasse meeting shall be scheduled promptly by the parties involved, unless they mutually agree to extend the impasse date. The purpose of such impasse meeting is to permit review of the positions, needs and interests of ETA and the APCD.
- B. At that meeting either party may request mediation. ETA and the APCD shall then proceed to mediation to assist the parties in the resolution of the dispute with a mediator from the State Mediation and Conciliation Service.
- C. The Mediator shall review the information presented by ETA and the APCD and shall obtain such other information as considered necessary. The Mediator shall hold meetings and attempt to resolve areas of disagreement between ETA and the APCD.

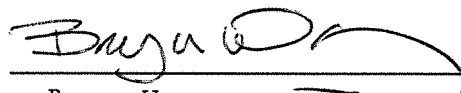
- D. The Mediator shall make no public recommendations nor take any public position regarding issues. All mediation sessions shall be confidential.
- E. The cost of mediation and related administrative costs, if any, shall be divided equally between ETA and the APCD.
- F. In the event mediation is not successful, ETA and the APCD may mutually agree to submit the relevant facts and issues to a fact-finder(s) in accordance with the procedures established in the EERP.

SANTA BARBARA COUNTY
AIR POLLUTION CONTROL DISTRICT



Aaron Arlin Genet

ENGINEERS AND TECHNICIANS
ASSOCIATION



Bryan Wong


Steven Nailor

DATED: 10-18-18

DATED: 10-19-2018

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
Santa Barbara County Counsel

By: Victoria Parks Tuttle
Deputy County Counsel